MuTMuT: Efficient Exploration for Mutation Testing of MultiThreaded Code

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UPCRC Illinois Research Seminar

February 18th, 2010
Outline

1. Project Overview

2. Background and Motivation
   - What is mutation testing?
   - Costs of Mutation Testing
   - How does mutation affect exploration?

3. MuTMuT
   - Framework
   - Optimizations

4. Evaluation
   - Subjects
   - Results

5. Conclusion
Testing multithreaded code is costly

Traditional (sequential) testing:

- input → code → expected output
- Input has only one execution path (deterministic code)

Testing multithreaded code:

- input, schedule → code → expected output
- Input has many possible execution paths
- Exploration of large number of possible schedules
A Simple Banking Simulation

class Account {

    double balance;

    Account(double amount) {
        this.balance = amount;
    }

    synchronized void withdraw(double amount) {
        if (balance >= amount)
            balance -= amount;
    }

    synchronized void deposit(double amount) {
        balance += amount;
    }
}
class AccountTest {
    void testDepositWithdraw() {
        final Account a = new Account(50.00);
        Thread t1 = new Thread() {
            public void run() {
                a.withdraw(80.00);
            }
        };
        Thread t2 = new Thread() {
            public void run() {
                a.deposit(90.00);
            }
        };
        Thread t3 = new Thread() {
            public void run() {
                a.deposit(20.00);
            }
        };
        t1.start(); t2.start(); t3.start();
        t1.join(); t2.join(); t3.join();
        assert 80.00 == a.balance() || 160.00 == a.balance();
    }
    void testDepositDeposit() { ... }
    ...
}

Test Execution/Exploration State Space

$S_1 \ 50$
Test Execution/Exploration State Space
Test Execution/Exploration State Space
Test Execution/Exploration State Space
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Current techniques focus on improving exploration

Some examples:

- CalFuzzer
- CHESS
- ConTest
- CTrigger
- ...

Focus on exploration of one version
However code evolves!

Exploration costs add up over time with each new version

Expensive to repeat complete testing for each new version

Reducing these costs thoroughly researched for sequential code, i.e., improving regression testing for sequential code

- Test selection  [Graves et al. 2001, Harrold et al. 2001…]
- Test prioritization  [Rothermel et al. 2001, Elbaum et al. 2002…]
- Unitizing system tests  [Orso et al. 2005, Saff et al. 2005…]
Regression testing for sequential code
Regression testing for sequential code
Regression testing for sequential code
Regression testing for sequential code
Regression testing for sequential code

\[ \text{Code} \xrightarrow{\delta} \text{Code 1} \]

\[ \text{Selection} \]

\[ \text{Test Suite} \]

\[ T_1, T_3, T_4, T_6, T_\ldots, T_k \]

\[ \text{Info 1, Info 2, Info 3, Info 4, Info 5, Info 6, Info \ldots, Info k} \]
Regression testing for sequential code
Previous work on incremental exploration

Reducing model checking and analysis costs for evolving code:

- Modal mu-calculus [Sokolowski and Smolka, 1994]
- Fixed-point properties [Makowsky and Rawe, 1995]
- Practical importance [Sistla, 1996]
- Extreme model checking [Henzinger et al. 2003]
- Checking safety properties [Conway et al. 2005]
- Incremental state space exploration [Lauterburg et al. 2008]

Most work does not handle multithreaded code and considers only one test.
Regression testing for multithreaded code
Challenges for evaluation

Heuristics need to be evaluated with controlled experiments
Hard to collect artifacts (many versions, many tests, many bugs)
Sample costs for JBoss (sequential tests), courtesy of Gregg Rothermel (UNL):

- 116K LOC, some existing JUnit tests, 10 versions
- 300 hours basic setup for build/experimentation
- 150 hours for fault documentation and seeding
- 120 hours for code instrumentation and other tools

Current benchmarks include one version, one test, one bug
Today’s talk: Simulate versions with mutation testing
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What is Mutation Testing?

Methodology to **measure** and **improve** quality of a test suite
Example Mutations

For sequential programs:

- Negate boolean expression: \( boolean_{\text{expr}} \rightarrow !(boolean_{\text{expr}}) \)
- Change arithmetic operator: \( expr + expr \rightarrow expr - expr \)
- Add static modifier: \( variable_{\text{decl}} \rightarrow static \ variable_{\text{decl}} \)

For multi-threaded programs:

- [Bradbury et al. 2006]
- Replace \texttt{notifyAll()} with \texttt{notify()}
- Change timeout parameter: \( \texttt{sleep(200)} \rightarrow \texttt{sleep(200*10)} \)
- Remove \texttt{synchronized} keyword from method
Example Mutations

For sequential programs:

- Negate boolean expression: $boolean_{\text{expr}} \rightarrow !(boolean_{\text{expr}})$
- Change arithmetic operator: $expr + expr \rightarrow expr - expr$
- Add static modifier: $variable_{\text{decl}} \rightarrow static variable_{\text{decl}}$

For multi-threaded programs: [Bradbury et al. 2006]

- Replace notifyAll() with notify()
- Change timeout parameter: $sleep(200) \rightarrow sleep(200*10)$
- Remove synchronized keyword from method
Application of Mutations - Example

Original code

```c
void withdraw(double amount) {
    if (balance >= amount)
        balance -= amount;
}
```

Some Mutants

```c
// replace amount with 0
void withdraw(double amount) {
    if (balance >= amount)
        balance -= 0;
}

// replace -= with +=
void withdraw(double amount) {
    if (balance >= amount)
        balance += amount;
}

// replace amount with balance
void withdraw(double amount) {
    if (balance >= amount)
        balance -= balance;
}
```
Compilation Cost

Each mutation creates a new version of the code

Each mutant version has to be compiled

Reduced using mutant schemata [Untch et al. 1993]

Mutant schemata encode all mutations into one code version
without schemata

```java
// original
void withdraw(double amount) {
    if (balance >= amount)
        balance -= amount;
}

// replace amount with 0
void withdraw(double amount) {
    if (balance >= amount)
        balance -= 0;
}

// replace -= with +=
void withdraw(double amount) {
    if (balance >= amount)
        balance += amount;
}

// replace amount with balance
void withdraw(double amount) {
    if (balance >= amount)
        balance -= balance;
}
```

with schemata

```java
void withdraw(double amount) {
    if (balance >= amount) {
        if (MUTATION_ID == 0) {
            // original
            balance -= amount;
        } else if (MUTATION_ID == 1) {
            // replace amount with 0
            balance -= 0;
        } else if (MUTATION_ID == 2) {
            // replace -= with +=
            balance += amount;
        } else if (MUTATION_ID == 3) {
            // replace amount with balance
            balance -= balance
        }
    }
}
```
Execution/Exploration Cost i.e. MuTMuT motivation

Mutant schemata reduces static (compilation) cost

However, the dynamic cost (execution) remains

All tests have to be executed for each mutant

Moreover, for multithreaded code each test needs to be explored!
Execution/Exploration Cost i.e. MuTMuT motivation

Mutant schemata reduces static (compilation) cost

However, the dynamic cost (execution) remains

All tests have to be executed for each mutant

Moreover, for multithreaded code each test needs to be explored!

MuTMuT deals with this cost (upto 77% savings)
```java
class Account {
    double balance;

    Account(double amount) {
        this.balance = amount;
    }

    synchronized void withdraw(double amount) {
        if (balance >= amount) {
            if (MUTATION_ID == 0) {
                balance -= amount;
            } else if (MUTATION_ID == 1) {
                balance -= 0;
            }
        }
    }

    synchronized void deposit(double amount) {
        balance += amount;
    }
}
```
Transitions affected by mutation
Transitions created by mutation
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Framework for mutation testing of multithreaded programs
MuTMuT

Explores both original and mutated code

Reports which mutants were killed

Naive approach: re-explore each test for each mutated version

**Optimizations** to report same killed mutants faster!

Supports different exploration modes (complete, CHESS...)
Optimizations

Collect **info** during original run and **reuse** during mutant run

Avoid executing unnecessary tests and unnecessary exploration

Tradeoff collection time for savings in exploration

4 optimizations:
- Basic
- OneState
- StateSet
- AllStates
Did the test exploration reach the mutant?
First state in the entire exploration which reaches
First states in each exploration path that reaches
All states in the exploration that reach the mutant
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## Experimental Subjects

<table>
<thead>
<tr>
<th>program</th>
<th># of tests</th>
<th># of mutants</th>
<th># killed</th>
<th># reached</th>
</tr>
</thead>
<tbody>
<tr>
<td>Account</td>
<td>13</td>
<td>4</td>
<td>4</td>
<td>4</td>
</tr>
<tr>
<td>Airline</td>
<td>9</td>
<td>31</td>
<td>18</td>
<td>31</td>
</tr>
<tr>
<td>Allocation</td>
<td>9</td>
<td>36</td>
<td>8</td>
<td>29</td>
</tr>
<tr>
<td>BubbleSort</td>
<td>6</td>
<td>32</td>
<td>28</td>
<td>32</td>
</tr>
<tr>
<td>Buffer</td>
<td>4</td>
<td>*15</td>
<td>13</td>
<td>15</td>
</tr>
<tr>
<td>LinkedList</td>
<td>16</td>
<td>23</td>
<td>14</td>
<td>18</td>
</tr>
<tr>
<td>NoRollback</td>
<td>4</td>
<td>66</td>
<td>51</td>
<td>66</td>
</tr>
<tr>
<td>TreeBag</td>
<td>9</td>
<td>*19</td>
<td>17</td>
<td>19</td>
</tr>
</tbody>
</table>

* manually created five multithreaded mutants
## Exploration Time Results Basic vs StateSet

<table>
<thead>
<tr>
<th>program</th>
<th>Basic</th>
<th>Exhaustive StateSet</th>
<th>Exhaustive speedup</th>
<th>CHESS(2) Basic</th>
<th>CHESS(2) StateSet</th>
<th>CHESS(2) speedup</th>
</tr>
</thead>
<tbody>
<tr>
<td>Account</td>
<td>1:17:10</td>
<td>0:44:33</td>
<td>43%</td>
<td>02:32</td>
<td>01:49</td>
<td>28%</td>
</tr>
<tr>
<td>Airline</td>
<td>0:19:08</td>
<td>0:11:29</td>
<td>44%</td>
<td>11:52</td>
<td>07:46</td>
<td>35%</td>
</tr>
<tr>
<td>Allocation</td>
<td>1:13:51</td>
<td>0:52:52</td>
<td>28%</td>
<td>31:46</td>
<td>24:04</td>
<td>24%</td>
</tr>
<tr>
<td>BubbleSort</td>
<td>0:59:34</td>
<td>0:21:47</td>
<td>63%</td>
<td>28:00</td>
<td>12:32</td>
<td>55%</td>
</tr>
<tr>
<td>Buffer</td>
<td>0:57:14</td>
<td>0:17:22</td>
<td>70%</td>
<td>03:01</td>
<td>01:08</td>
<td>62%</td>
</tr>
<tr>
<td>LinkedList</td>
<td>1:16:51</td>
<td>0:43:57</td>
<td>43%</td>
<td>32:23</td>
<td>18:28</td>
<td>43%</td>
</tr>
<tr>
<td>NoRollback</td>
<td>0:25:45</td>
<td>0:05:41</td>
<td>77%</td>
<td>04:26</td>
<td>01:35</td>
<td>72%</td>
</tr>
<tr>
<td>TreeBag</td>
<td>0:14:17</td>
<td>0:10:33</td>
<td>26%</td>
<td>01:15</td>
<td>00:58</td>
<td>22%</td>
</tr>
</tbody>
</table>
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Conclusion

Testing multithreaded code is expensive

Testing multiple versions of them is even more so

We are working on this problem; started with mutation testing

Able to achieve significant savings with MuTMuT optimizations

Now onto other forms of testing, especially unit testing

One challenge: collecting parallel code (with versions, tests, bugs)